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ON

*11-403*  
*Herbert Blum et al* *11-403*  
SIGNATURE DATE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Herbert Blum et al  
Serial No. : 10/665,331  
Filing Date : September 19, 2003  
For : FORCE LIMITER PROVIDED  
ON A BELT RETRACTOR  
Art Unit :  
Examiner :  
Attorney Docket : TRW(REPA) 6748

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

1. Pursuant to 37 CFR §1.97 and §1.98, and in compliance with CFR §1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. Applicant(s) make no admission that the documents are prior art to the present invention.
2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a corresponding U.S. Patent is enclosed, or an English abstract, or a concise explanation of the relevance of the document is set forth on an attached sheet.

3. Pursuant to 37 CFR \$1.97(b) this Statement is being filed (one must be checked):

- (a) ☒ Within three months of the filing date or date of entry into the National Stage.
- (b) ☐ Before the mailing date of a first Office Action on the merits.
- (c) ☐ After the period set forth in 37 CFR \$1.97(b) but before the mailing date of either a final action or a notice of allowance or an action that otherwise closes prosecution in the application.
  - 1) ☐ A certification is given below,
  - 2) ☐ Enclosed is a check covering the fee (\$180.00) set forth in \$1.17(p) for consideration of this Statement, or
  - 3) ☐ Charge the fee set forth in 37 CFR \$1.17(p) to Deposit Account No. 20-0090.
- (d) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. The required certification and fee is indicated below.
  - 1) ☐ Enclosed is a check covering the fee set forth in 37 CFR \$1.17(p) \$180, or
  - 2) ☐ Charge the fee set forth in 37 CFR \$1.17(p) to Deposit Account No. 20-0090.

4. Certification (if applicable):

- (a) ☐ The undersigned hereby certifies that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

- (b) ☐ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the undersigned's knowledge after making reasonable inquiry no item of information contained in the Statement, was known to any individual designated in 37 CFR \$1.56(c) more than three months prior to the filing of this Statement.
5. The Commissioner is hereby authorized to charge any additional fees, fees underpaid, or credit any overpayment with regard to this Statement to Deposit Account No. 20-0090.
6. Concise Explanation (if needed):
- (1) ☒ Below is a Concise Statement of Relevance of enclosed non-English language document(s).

**U.S. PATENT DOCUMENTS**

5,613,647

**FOREIGN PATENT DOCUMENTS**

DE 196 48 472 C1

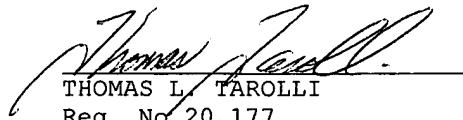
See attached English abstract.

DE 197 44 836 A1

See attached English abstract.

**OTHER DOCUMENTS**

Respectfully submitted,

  
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Sheet \_\_\_\_ of \_\_\_\_

FORM PTO-1449  
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

TRW(REPA)6748

SERIAL NO.

10/665,331

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT*(Use several sheets if necessary)*

APPLICANT:

Herbert Blum et al

FILING DATE:

9-19-03

GROUP:

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	5,613,647	3/97	Dybro et al			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	19648472	7/98	GERMANY				
	19744836	5/98	GERMANY				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


DATE CONSIDERED

EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.